

B 2100A (Form 2100A) (12/15)

UNITED STATES BANKRUPTCY COURT  
Southern District Of New York

In re: Lehman Brothers Holdings Inc., et al.,

Case No. 08-13555 (SCC)  
(Jointly Administered)

TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

DAUPHINOT INVESTMENTS LIMITED

The Hongkong and Shanghai Banking  
Corporation Limited,

~~Hong Kong Branch (Private Banking Division)~~

Name of Transferee

Name of Transferor

Name and Address where notices to transferee  
should be sent:

DAUPHINOT INVESTMENTS LIMITED  
22/F, KWONG FAT HONG BUILDING, 1 RUMSEY  
STREET, SHEUNG WAN, HONG KONG  
Phone: +852 2545 3320  
Last Four Digits of Acct. #:

Court Claim # (if known): [ 56671 ]

Amount of Claim: See attached Evidence of Partial  
Transfer of Claim

Date Claim Filed: 29 October 2009

Name and Address where transferee payments  
should be sent (if different from above):

Phone:  
Last Four Digits of Acct. #:

Phone: +852 3604 7640  
Last Four Digits of Acct. #: 6253

I declare under penalty of perjury that the information provided in this notice is true and correct to the best  
of my knowledge and belief.

By: 

Date:

23 August 2021

Transferee/Transferee's Agent

Name: SZETO BICKONG DEREK

Title: Director

*Penalty for making a false statement:* Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

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**EVIDENCE OF PARTIAL TRANSFER OF CLAIM**

TO: Clerk, United States Bankruptcy Court, Southern District of New York

**THE HONGKONG AND SHANGHAI BANKING CORPORATION LIMITED, ~~HONG KONG BRANCH (PRIVATE BANKING DIVISION)~~** ("Transferor"), does hereby certify that, as of, 23 August 2019, it has unconditionally and irrevocably transferred and assigned to [DAUPHINOT INVESTMENTS LIMITED] ("Transferee"), to the extent specified below (the "Transferred Claim"), Transferor's right, title and interest in and to Proof of Claim Number [ 56671 ] filed by or on behalf of Transferor against Lehman Brothers Holdings Inc., debtor in Case No. 08-13555 (SCC) in the United States Bankruptcy Court for the Southern District of New York, solely in the following amounts (the "Claim").

<u>ISIN</u>	<u>Issuer</u>	<u>Guarantor</u>	<u>Principal Amount Transferred</u>
[XS0298931956]	Lehman Brothers Treasury Co BV	LEHMAN BROTHERS HOLDINGS INC	[GBP 100,000]
[XS0314157644]	LEHMAN BROTHERS TREASURY CO BV	LEHMAN BROTHERS Holdings INC	[ USD 150,000 ]

For the avoidance of doubt, no portion of the Claim has been transferred to the Transferee other than the Transferred Claim.

Transferor hereby waives any notice or hearing requirements imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, and stipulates that an order may be entered recognizing the assignment evidenced by this Evidence of Transfer of Claim as an unconditional assignment and Transferee herein as the valid owner of the Transferred Claim. You are hereby requested to make all future payments and distributions, and to give all notices and other communications in respect of the Transferred Claim to Transferee.

IN WITNESS WHEREOF, dated as of the 23 of August, 2019, 2021

**THE HONGKONG AND SHANGHAI BANKING CORPORATION LIMITED, ~~HONG KONG BRANCH (PRIVATE BANKING DIVISION)~~**

For and on behalf of  
The Hongkong and Shanghai Banking Corporation Limited

By: \_\_\_\_\_



Name: \_\_\_\_\_

Title: \_\_\_\_\_

Tel.: \_\_\_\_\_

Terry Lam  
Head of Private Banking Operations  
Private Banking Division

**DAUPHINOT INVESTMENTS LIMITED**

By: \_\_\_\_\_



Name: SZETO BICKONG DEREK

Title: Director

Tel.: +852 2545 3320

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